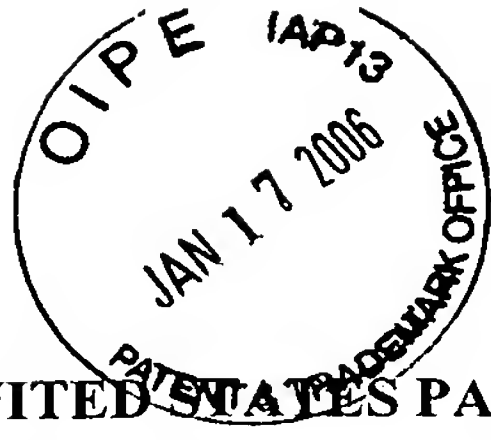


Docket No. 280147US0PCT



IAP7 Rec'd PCT/PTO 17 JAN 2006 PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Adolf KUEHNLE, et al.

SERIAL NO: 10/556,719

GAU:

MAIL STOP PCT

FILED: November 14, 2005

EXAMINER:

FOR: TRANSPARENT MASTERBATCHES FOR THERMOPLASTICS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
280147US0PCTSERIAL NO.
10/556,719

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Adolf KUEHNLE, et al.FILING DATE
November 14, 2005

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2001/0018486	08/30/01	LICHTENHAN et al.			
	AB	2003/0018109	01/23/03	HSIAO et al.			
	AC	2003/0050408	03/13/03	PUHALA et al.			
	AD	2005/0010012	01/13/05	JOST et al.			
	AE	2005/0010011	01/13/05	SPYROU et al.			
	AF	2003/0096934	05/22/03	JOST et al.			
	AG	2002/0137625	09/26/02	JOST et al.			
	AH	5 412 029	05/02/95	ELM et al.			
	AI	5 241 014	08/31/93	KEHR et al.			
	AJ	2001/0006988	07/05/01	KUHNLE et al.			
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	03/042292	05/22/03	WO (with English abstract)		NO
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	LICHTENHAN et al. "Thermoplastic Hybrid Materials: Polyhedral Oligomeric Silsesquioxane (Poss) Reagents, Linear Polymers, and Blends", Mat. Res. Soc. Symp. Proc., vol. 435, pages 3-11 1996
	AX	HADDAD et al. "Hybrid Organic-Inorganic Thermoplastics: Styryl-Based Polyhedral Oligomeric Silsesquioxane Polymers", Macromolecules, vol. 29, pages 7302-7304 1996
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



U.S. PCT Application Serial No.: 10/556,719
Docket No.: 280147US0PCT

STATEMENT OF RELEVANCY

- 1) References AA-AC, AO have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References _____ have been cited in the corresponding _____ Search Report. A copy of these references is being submitted herewith.
- 3) References _____ are discussed in the specification. A copy of these references is being submitted here with.
- 4) References AW, AX are additional prior art known to Applicant. A copy of these references is being submitted herewith.

		Docket No.: 280147US0PCT		Serial No.: 10/556,719	
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56		Inventor: Adolf KUEHNLE, et al.			
		Filing Date: November 14, 2005		Group:	
<u>LIST OF RELATED CASES</u>					
<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	280147US0PCT*	10/556,719	11/14/05		KUEHNLE, et al.
	260232US0XPCT	10/511,593	11/02/04		KUEHNLE, et al.
	251043US0X	10/494,043	08/27/04	US2005/0010012 A1	JOST, et al.
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	0689-0306-0	07/743,894	08/12/91		ELM, et al.
	PER CLIENT	5,241,014	08/31/93		KEHR, et al.
<div>Examiner</div> <div>Date Considered</div>					
<p>*Present Application; listed for information NFO/kch/hah</p>					